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FORM PTO-1082

EXPRESS MAIL LABEL NO.: EL640514549US

PATENT

Attorney Docket No.: 876635-1

Date: January 18, 2002

BOX PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventors: NICHOLAS DE BEER and MARTIN DIECK

For: METHOD AND APPARATUS FOR CLOSING SEPTAL DEFECTS

☒ APPLICANT(S) CLAIMS SMALL ENTITY STATUS. SEE 37 CFR 1.27.

Enclosed are:

24 Pages of Specification

16 Sheets of drawing (☒ formal ☐ informal)

☒ Declaration and Petition. ☐ Will follow.

☐ Form PTO-1595 and an assignment of the invention to ☐ Will follow.

☐ A certified copy of ☐ from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119. ☐ Will follow.

☐ An associate Power of Attorney

☐ Information Disclosure Statement, Form PTO 1449 and ☐ prior art reference(s).

☐ Preliminary Amendment.

☒ General Authorization/Request to Petition for Extension of Time.

☒ Postcard

FOR:	NO. FILED	NO. EXTRA	SMALL ENTITY RATE	SMALL ENTITY FEE		OTHER THAN SMALL ENTITY RATE	OTHER THAN SMALL ENTITY FEE
BASIC FEE				\$370.00	OR		\$740.00
TOTAL CLAIMS	23	-20=	3	\$-0-	OR	X 18	\$-0-
INDEP CLAIMS	4	-3=	1	\$ 42.00	OR	X 84	\$-0-
MULTIPLE			X+ 140	\$-0-	OR	+ 280	\$-0-
DEP CLAIMS			TOTAL:	\$439.00		TOTAL:	\$
PRESENTED							

☐ Please charge my Deposit Account No. 50-0639 the amount of XX. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$439.00 to cover the filing fee is enclosed.

☐ A check in the amount of \$XX to cover the Assignment Recordation Fee is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associate with this communication or credit any overpayment to Deposit Account No. 50-0639. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-0639. A duplicate copy of this sheet is enclosed.

☐ Any patent application processing fees required under 37 CFR 1.17.

☐ The issue fee set in 37CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.31(b).

☐ Any filing fees under 37 CFR 1.16 for presentation or extra claims.

Respectfully submitted,



Brian M. Berliner
Registration No. 34,549

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Los Angeles, CA 90071-2899
(213) 430-6000

PATENT

Docket No.: 876635-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Nicholas De Beer and Martin Dieck

For: Method And Apparatus For Closing Septal Defects

CERTIFICATE OF MAILING

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

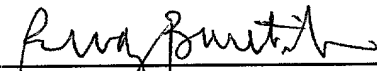
"EXPRESS MAIL" Mailing Label No.: EL640514549US

Date of Deposit: January 18, 2002

I hereby certify that an application for patent, including:

24 pages of Specification (which includes 23 claims and a one-page Abstract); 16 Sheets of formal Drawings; an executed Declaration and Petition; a General Authorization/Request to Petition for Extensions of Time; a check in the amount of \$439.00 to cover the filing fee; a Transmittal Letter (Form PTO 1082); and Return Postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Date of Deposit: January 18, 2002



Rudy C. Baugista